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PATENTS
8201/Y02/SYNX/SYNX/JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael R. Rice et al.
Serial No. : 10/764,620
Filed : January 26, 2004
For : SYSTEMS AND METHODS FOR TRANSFERRING SMALL
LOT SIZE SUBSTRATE CARRIERS BETWEEN
PROCESSING TOOLS
Group Art Unit : 2125
Customer No. : 41161
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following references:

U.S. Patent No. 5,372,471, Wu
U.S. Patent No. 4,027,246, Caccoma et al.
U.S. Patent No. 6,082,948, Fishkin et al.
U.S. Patent No. 5,888,042, Oda
U.S. Patent No. 6,048,259, Asai

U.S. Patent No. 5,390,785, Garric et al.

U.S. Patent No. 3,845,286, Aronstein et al.

U.S. Patent No. 6,026,561, Lafond

U.S. Patent No. 5,884,392, Lafond

U.S. Patent No. 5,411,358, Garric et al.

U.S. Patent No. 5,382,127 , Garric et al.

U.S. Patent No. 5,388,945, Garric et al.

U.S. Patent No. 5,811,211, Tanaka et al.

U.S. Patent No. 6,053,688, Cheng, David

U.S. Patent No. 4,166,527, Beezer

Foreign Art Reference No. EP 0 365 589 B1 (EPO)

Foreign Art Reference No. EP 0 663 686 A1 (EPO)

These references are also listed on the accompanying
Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this
patent application is respectfully requested.

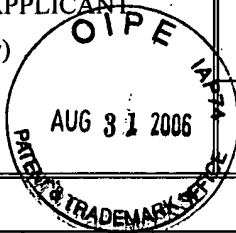
Respectfully Submitted,

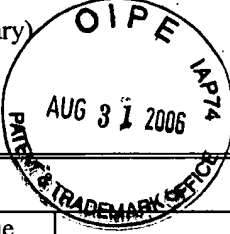


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(914) 332-9081

Dated:

8/31/06
Tarrytown, New York

U.S. Department of Commerce, Patent and Trademark Office LIST OF RELEVANT ART CITED BY APPLICANT (Use several sheets if necessary)				Docket No.: 8201/Y02/SYNX/SYNX/JW		Serial No.: 10/764,620	
				Applicants: Michael R. Rice et al.			
				Filing Date: January 26, 2004		Group: 2125	
U.S. Patent Documents							
*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	US-1	5,372,471	12/13/94	Wu			
	US-2	4,027,246	05/31/77	Caccoma et al.			
	US-3	6,082,948	07/04/00	Fishkin et al.			
	US-4	5,888,042	03/30/99	Oda			
	US-5	6,048,259	04/11/00	Asai			
	US-6	5,390,785	02/21/95	Garric et al.			
	US-7	3,845,286	10/29/74	Aronstein et al.			
	US-8	6,026,561	02/22/00	Lafond			
	US-9	5,884,392	03/23/99	Lafond			
	US-10	5,411,358	05/02/95	Garric et al.			
	US-11	5,382,127	01/17/95	Garric et al.			
Foreign Patent Documents							
							Translation
		Document Number	Date	Country	Class	Subclass	Yes No
	F-1	EP 0 365 589 B1	09/23/92	EPO			X
	F-2	EP 0 663 686 A1	07/19/95	EPO			X
	F-3						
	F-4						
	F-5						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
	OT-1						
	OT-2						
	OT-3						
Examiner			Date Considered				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.							

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U.S. Patent Documents							
*Examiner Initial	Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate	
	US-12	5,388,945	02/14/95	Garric et al.			
	US-13	5,811,211	09-1998	Tanaka et al.			
	US-14	6,053,688	04-2000	Cheng, David			
	US-15	4,166,527	09/04/79	Beezer			
	US-16						
	US-17						
	US-18						
	US-19						
	US-20						
	US-21						
	US-22						

Foreign Patent Documents							Translation	
	Document Number	Date	Country	Class	Subclass	Yes	No	
	F-6							
	F-7							
	F-8							
	F-9							
	F-10							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
	OT-4
	OT-5
	OT-6

Examiner	Date Considered
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